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PATENT

Attorney Docket No. 9707.0009

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Hideyuki Okano et al.

Application No.: 10/571,277

Filed: March 9, 2006

For: METHODS FOR ENHANCING ) Confirmation No.: Unassigned  
SURVIVAL AND/OR )  
PROLIFERATION OF NEURAL )  
STEM CELLS AND NEURITE )  
EXTENSION, ENHancers )  
THEREFOR, PHARMACEUTICAL )  
COMPOSITIONS CONTAINING )  
NEURAL STEM CELLS, ASSAY )  
METHODS, AND SCREENING )  
METHODS )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed foreign and non-patent literature documents are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

In lieu of a statement of relevance or translation of the non-English documents, an English language version of a search report from the European Patent Office in a corresponding application citing these documents and setting forth the relevance thereof is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: November 16, 2006

By:   
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David W. Hill  
Reg. No. 28,220

NOV 16 2006

IDS Form PTO/SB/08: Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)				<b>Complete if Known</b>	
Sheet	1	of	1	Application Number	10/571,277
				Filing Date	March 9, 2006
				First Named Inventor	Hideyuki Okano
				Art Unit	Unassigned
				Examiner Name	Unassigned
				Attorney Docket Number	9707.0009

<b>U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS</b>					
Examiner Initials	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

<b>FOREIGN PATENT DOCUMENTS</b>						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		EP 1 122 311 A1	08/08/2001	H. Horie		Yes
		EP 1 302 533 A1	04/16/2003	Y. Sasai et al.		Yes
		WO 02/099102 A1		A. Tokunaga et al.		English Abstract

<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			Translation <sup>6</sup>
		Brent Reynolds et al., "GENERATION OF NEURONS AND ASTROCYTES FROM ISOLATED CELLS OF THE ADULT MAMMALIAN CENTRAL NERVOUS SYSTEM", Science, American Association for the Advancement of Science, Vol. 255, No. 5052, March 1992, pp. 1707-1710.			
		Stefano Pluchino et al., "INJECTION OF ADULT NEUROSPHERES INDUCES RECOVERY IN A CHRONIC MODEL OF MULTIPLE SCLEROSIS", Nature Publishing Group, Vol. 422, April 2003, pp. 688-694.			
		Kimberly Walsh et al., "HUMAN CENTRAL NERVOUS SYSTEM TISSUE CULTURE: A HISTORICAL REVIEW AND EXAMINATION OF RECENT ADVANCES", Neurobiology of Disease, Vol. 18, No. 1, February 2005, pp. 2-18.			

Examiner Signature	Date Considered
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.